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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel B. Stewart et al. Examiner:
Serial No.: 09/751,189 Art Unit 2152
Filing Date: December 29, 2000 Atty Docket No.: NTL-3.2.160/3597
For: Network Protocols For Distributing Functions Within a Network
(12740RO)

Box Missing Parts (Box Fee)
Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated February 12, 2001, Applicant submits herewith and Assignment and Declaration. A check for the surcharge fee of \$4,196.00 is enclosed herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard M. Lehrer".

Richard M. Lehrer
Reg. No. 38,536
COBRIN & GITTES
750 Lexington Avenue
New York, New York 10022
(212) 486-4000

Date: April 12, 2001

Please type a plus sign (+) inside this box [+]



PTO/SB/21 (12-97)

Approved for use through 10/31/02. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/751,189
	Filing Date	December 29, 2000
	First Named Inventor	Daniel B. Stewart
	Group Art Unit	2152
	Examiner Name	
Total Number of Pages in this Submission []	Attorney Docket Number	NTL-3.2.160/3597 (12740RO)

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers <i>(for an application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(Please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	Check # _____ for \$4,196.00
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s) _____	Acknowledgment Postcard
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53		
[REMARKS]		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	COBRIN & GITTES Richard M. Lehrer, Reg. No. 38,536
Signature	
Date	April 12, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: [April 12, 2001]

Typed or printed name	Richard M Lehrer	
Signature	Richard M Lehrer	[Date]: April 12, 2001

APP 16 2001

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FEES TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/751,189
Filing Date	December 29, 2000
First Named Inventor	Daniel B. Stewart
Examiner Name	
Group/Art Unit	2152

TOTAL AMOUNT OF PAYMENT	(\$ 4,196.00)	Attorney Docket No.	NTL-3.2.160/3597 (12740RO)
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METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: [03-2317]

Deposit Account Name: [COBRIN & GITTES]

[X] Charge any additional fee required under 37 CFR 1.16 and 1.17

[] Applicant claims small entity status. See 37 CFR 1.27

3. ADDITIONAL FEES

Large Entity		Small Entity			
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - Late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	

2. [X] Payment Enclosed:

[X] Check [] Money Order [] Other

139	130	139	130	Non-English specification	
147	2,520	147	2,520	Request for Reexamination	
112	920*	112	920*	Req. publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	

1. BASIC FILING FEE

Large Entity		Small Entity			
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (2)				\$ 710.00	120
				310	220
				155	Brief in support of an appeal

2. EXTRA CLAIM FEES

			Extra Claim	Fee below	Fee Paid
Total Claims	62	-20 =	42	x	18
Indep. Claims	35	-3 =	32	x	80
Multiple Dependent					

*** or number previously paid, if greater; for reissues, see below*

			Fee Description	Fee Paid
Large Entity	Small Entity			
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)			(\$ 3316.00)	Other fee (specify) _____
** or number previously paid, if greater; For Reissues, see above				

*Reduced by Basic filing fee Paid

SUBTOTAL (3)

170.00

SUBMITTED BY		Complete (if applicable)			
Name (Print Type)	Richard M. Lehrer	Registration Number	38,536	Telephone	(212) 486-4000
Signature			Date	April 12, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commissioner for Patents.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/751,189

12/29/2000

Daniel B. Stewart

NTL-3.2.160/3597
(12740RO)

CONFIRMATION NO. 8529

FORMALITIES LETTER



OC0000000575631

COBRIN & GITTES
750 Lexington Avenue, 21 floor
New York, NY 10022

Date Mailed: 02/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/20/2001 SSESHE1 00000049 09751189

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	756.00 OP
03 FC:102	2560.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3316.
 - \$756 for 42 total claims over 20.
 - \$2560 for 32 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4156.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at

least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE